

10-26-04

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/616,871

Filing Date:

July 10, 2003

Applicant:

Hung T. Du et al.

Group Art Unit:

2834

Examiner:

To be determined

Title:

Dynamoelectric Machine Having An Encapsulated Coil Structure

Attorney Docket:

0275Y-000431/CPD

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. <u>COPIES</u>

A. Submitted herewith is a legible copy of (i) each U.S. patent application
publication and U.S. and foreign patent; (ii) each publication or that portion which
caused it to be listed; (iii) for each cited pending U.S. application, the application
specification including the claims, and any drawing of the application which
caused it to be listed including the claims directed to that portion; and (iv) all
other information or that portion which caused it to be listed.

В. 🔲	Any	patents	, pub	lications	or	othe	r infor	matior	n which	are	listed	on	Form
1449	or on	the co	oies d	of PTO-8	92,	but	which	are n	ot enclo	sed	herew	ith.	were

previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
D. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g).)
CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
A. Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
B. A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
1. See the attached foreign patent office communication from a counterpart foreign application:
2. English translations or English abstracts are provided as indicated

German Patent Application DE195 24 431: (VDO Adolf Schindling AG, German), filed on July 5, 1995 and published on January 9, 1997, relates to a fuel pump. It is described that the fuel pump comprises an electric motor with an armature wherein for noise reduction the commutator (1) and the coil heads (2) provided at both ends are encapsulated by plastic material.

3. X Other:

III.

a stat	nan Utility Model No. 1 966 275: Was published on August 17, 1967, and describes for an electric motor having the coil heads of the windings embedded in a resing tain high mechanical strength against vibrations.	
	C. The following additional information is provided for the Examiner' consideration.	s
IV.	CROSS REFERENCE TO RELATED APPLICATION(S)	
	A. The Examiner is advised that the following co-pending application(s contain(s) subject matter that may be related to the present application. B bringing this(these) application(s) to the Examiner's attention, Applicant(s) doe (do) not waive the confidentiality provisions of 35 U.S.C. § 122.	ý
	Serial No. Filing Date Art Unit	
٧.	THIS IDS IS BEING FILED UNDER	
	A. X 37 C.F.R. § 1.97(b): (check only one box)	
	1. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. 1.97(b)(1)). No fee or certification is required.	∍r §
	2. within three months of the date of entry of the national stage as seforth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). Need to reduce the first of the national stage as seforth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)).	
	3. Defore the mailing of a first Office Action on the merits (37 C.F.R. 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this ID under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. 1.97(e) below; or, if no certification has been made, charge our depose account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p.	st S §
	4. Defore the mailing of a first Office Action after the filing of a requestor continued examination under 37 C.F.R. § 1.114. No fee or certification is required.	st
	B 37 C F R & 1.97(c): (check only one box)	

	before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
	1. No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
	2. See the certification below. No fee is required.
	C.
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A. \square each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was

known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 C.F.R. 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

- A. A check in the amount of \$180.00 is enclosed for the above identified fee.
- B. Please charge Deposit Account No. 02-2548 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2548.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 02-2548.

Respectfully submitted,

Dated: 007 25,2004

Roland A. Fuller III Reg. No. 31160

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

RAF/JJK

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL	A	pplication Number	10/616,871			
	Fi	ling Date	July 10, 2	003		
FORM		irst Named Inventor	Hung T. D	Ou et al.		
(to be used for all correspondence after initial	al filing)	rt Unit ·	2834	2834		
	E	xaminer Name	To be det	To be determined		
Total Number of Pages in This Submission	A	ttorney Docket Number	0275Y-00	0431/CPD		
	ENCLOSU	RES (check all that apply)				
Fee Transmittal Form	Drawing(s)			Illowance Communication to blogy Center (TC)		
Fee Attached	Licensing-	related Papers		Communication to Board of Is and Interferences		
Amendment / Reply	Petition			Communication to TC I Notice, Brief, Reply Brief)		
After Final		Convert to a I Application	Proprie	etary Information		
Affidavits/declaration(s)		attorney, Revocation Correspondence Address	☐ Status	Letter		
Extension of Time Request	Terminal D	Disclaimer	Other Enclosure(s) (please identify below):			
Express Abandonment Request	Request fo	or Refund er of CD(s)	Form 1449 citing 69 U.S. References, 26 Foreign Documents (Foreign Documents			
☐ Information Disclosure Statement			end	closed); and return postcard.		
Certified Copy of Priority Document(s)	Remarks	The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 02-2548. A duplicate copy of this sheet is enclosed.				
Response to Missing Parts/ Incomplete Application		7,0000,11,110, 02 20 10	. r. dapiloato	sopy of the officer to cholosed.		
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNA	TURE OF AP	PLICANT, ATTORNEY, (R AGENT			
Firm or Harness, Dickey & Individual name	Pierce, P.L.C.	Attorney Name Reg. No.				
Signature	. Th	1 (11				
Date October 25, 2004						
	ERTIFICATE	OF TRANSMISSION/MA	ILING			
I hereby certify that this correspondence Service with sufficient postage as first of Alexandria, VA 22313-1450 on the date sh	dass mail in ar	le transmitted to the USPTC n envelope addressed to: (or deposited Commissioner	with the United States Postal for Patents, P.O. Box 1450,		
Typed or printed name Andrea Blend	ea ·		Express Mail Label No.	EV 406 076 462 US (10/25/2004)		
Signature (Ind	rea (3)	Pender	Date	October 25, 2004		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

October 25, 2004

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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Signature

FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 180

Complete if Known				
Application Number	10/616,871			
Filing Date	July 10, 2003			
First Named Inventor	Hung T. Du et al.			
Examiner Name	To be determined			
Art Unit	2834			
Attorney Docket No.	0275Y-000431/CPD			

ME	THOD O	F PAYMI	ENT (check all that	apply)		FEE CALCULATION (continued)						
							3. ADDITIONAL FEES					
	☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order ☐ Deposit Account:							Small	Entity			
Deposit Accord			· · · · · · · · · · · · · · · · · · ·		1	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee De	escription	Fee Pald
Account 02-2548			1051	130	2051	65	Surcharge - late	filing fee or oath				
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						1501	1,370	2501	685	Utility issue fee	(or reissue)	
2. EXTRA CLA	IM FEE	SFOR				1502	490	2502	245	Design issue fee	9	
			Extra Fee for Claims below			1503	660	2503	330	Plant issue fee		
Total Claims	-20 *	• = [0 x	= 0	7	1460	130	1460	130	Petitions to the	Commissioner	
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1202 18	2202	9	Claims in excess			1810	790	2810	395		onal invention to be	
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				Registration N	0.		\neg		Т	Com	plete (if applicable)	
Name (Print/Type)	R	oland A. I	-uller III	(Attorney/Ager			3	1,160		Telephone	248-641-1600	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FORM/HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 5

ATTORNEY DOCKET No.	SERIAL NO.
0275Y-000431/CPD	10/616,871
APPLICANT	
Hung T. Du et al.	
FILING DATE	GROUP
July 10, 2003	2834

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		3,709,457	1/9/1973	Church		
. 2.		3,813,763	6/4/1974	Church		
3.		3,963,949	6/15/1976	Church		
4.		4,066,606	1/3/1978	Vargiu et al.		
5.		4,077,939	3/7/1978	Paparatto et al.		
6.		4,470,786	9/11/1984	Sano et al.		
7.		4,696,631	9/29/1987	Nitt		
8.		4,863,651	9/5/1989	Koten		
9.		4,950,438	8/21/1990	Nakamura et al.		
10.		4,964,210	10/23/1990	Takagi		
11.		5,059,370	10/22/1991	Kojima		
12.		5,137,940	8/11/1992	Tomiyoshi et al.		
13.		5,153,263	10/6/1992	Liu et al.		
14.		5,473,213	12/5/1995	Kahle, Sr.		
15.		5,538,067	7/23/1996	Nakamura et al.		
16.		5,639,403	6/17/1997	Ida et al.		
17.		5,714,828	2/3/1998	Ackermann et al.		
18.		5,814,412	9/29/1998	Terada et al.		
19.		5,887,643	3/30/1999	Nakamura et al.		
20.		5,937,930	8/17/1999	Nakamura et al.		
21.		5,955,813	9/21/1999	Bolte et al.		

Examiner:	Date Considered:

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 5

ATTORNEY DOCKET No.	SERIAL NO.
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U.S. P	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.		5,973,424	10/26/1999	Engelberger et al.		
. 23.		6,177,741	1/23/2001	Lutkenhaus et al.		
24.		6,315,527	11/13/2001	Makino et al.		
25.		6,451,230	9/17/2002	Eckardt et al.		
26.		6,477,763	11/12/2002	Santander et al.		
27.		6,643,910	11/11/2003	Kanai et al.		
28.		6,645,416	11/11/2003	Bock et al.		
29.		6,661,137	12/9/2003	Gauthier et al.		
30.		6,673,463	1/6/2004	Onishi et al.		
31.		6,683,397	1/27/2004	Gauthier et al.		
32.		2001/045687	11/29/2001	Baumann et al.		
33.		2001/048261	12/6/2001	Kojima et al.		
34.		2002/047425	4/25/2002	Coupart et al.		
35.		2002/148099	10/17/2002	Eydelie et al.		
36.		2002/149281	10/17/2002	Saint-Michel et al.		
37.		2002/171305	11/21/2002	Coupart et al.		
38.		6,531,797	3/11/2003	Eydelie et al.		
39.		6,201,321	3/13/2001	Mosciatti et al.		
40.		3,047,756	7/31/1962	Coggeshall		
41.		6,462,453	10/8/2002	Asao et al.		

Examiner:	Date Considered:

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 3 of 5

ATTORNEY DOCKET No.	SERIAL NO.
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APPLICANT	
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July 10, 2003	2834

U.S. F	PATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		6,449,830	9/17/2002	Amada et al.		
43.		6,448,683	9/10/2002	Wiesler et al.		
44.		6,411,188	6/25/2002	Pruess et al.		
45.		6,404,092	6/11/2002	Baumann et al.		
46.		6,396,189	5/28/2002	Matsushita et al.		
47.		6,334,972	1/1/2002	Kim		
48.		6,288,341	9/11/2001	Tsunoda et al.		
49.		6,278,206	8/21/2001	Yockey et al.		
50.		6,208,056	3/27/2001	Perkins		
51.		6,202,285	3/20/2001	Bell		
52.		6,191,508	2/20/2001	Aoki et al.		
53.		6,181,042	1/30/2001	Grant et al.		
54.		6,181,038	1/30/2001	Van Rooij		
55.		6,579,566	6/17/2003	Moser et al.		
56.		5,436,520	7/25/1995	Huber		
57.		2,756,354	7/24/1956	Baron		
58.		3,244,919	4/5/1966	Drenth et al.		
59.		4,663,835	5/12/1987	Caillier, Sr.		
60.		6,137,205	10/24/2000	Hung et al.		
61.		3,471,731	10/7/1969	Pratt et al.		

Examiner:	Date Considered:

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 4 of 5

ATTORNEY DOCKET No.	SERIAL NO.	
0275Y-000431/CPD	10/616,871	
APPLICANT		
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July 10, 2003	2834	

U.S. P	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
62.		4,105,906	8/8/1978	Ade et al.		
. 63.		4,882,510	11/21/1989	Newberg		
64.		5,019,737	5/28/1991	Bruno		
65.		6,166,462	12/26/2000	Finkenbinder et al.		
66.		6,184,600	2/6/2001	Asao et al.		
67.		6,239,519	5/29/2001	Kaelberer et al.		
68.		5,694,268	12/2/1997	Dunfield et al.		
69.		4,160,926	7/10/1979	Cope et al.		

FORE	IGN PATEN	T DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes N	lo
1.		DE 195 42 564	5/22/1997	Germany		Abstract	
2.		DE 195 43 919	4/24/1997	Germany		Abstract	
3.		DE 198 18 105	10/28/1999	Germany		Abstract	
4.		DE 198 34 085	11/25/1999	Germany		Abstract	
5.		DE 198 34 086	1/5/2000	Germany		Abstract	· · · ·
6.		DE 199 23 886	1/5/2000	Germany		Abstract	
7.		DE 199 39 760	3/8/2001	Germany		Abstract	
8.		DE 32 09 864	9/29/1983	Germany		Abstract	
9.		DE 32 11 716	10/13/1983	Germany		Abstract	

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(Use several sheets if necessary)

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